Effect typing

Sam Lindley

The University of Edinburgh

Effect polymorphism

To support flexible composition of effectful programs we need* effect polymorphism.

Effect polymorphism

To support flexible composition of effectful programs we need* effect polymorphism.

Example: choice and failure

```
maybeFail : \forall e.A!(e \uplus \{ \text{fail} : a.1 \twoheadrightarrow a \}) \Rightarrow \text{Maybe } A!e allChoices : \forall e.A!(e \uplus \{ \text{choose} : 1 \twoheadrightarrow \text{Bool} \}) \Rightarrow \text{List } A!e
```

Effect polymorphism

To support flexible composition of effectful programs we need* effect polymorphism.

Example: choice and failure

```
maybeFail : \forall e.A!(e \uplus \{ \text{fail} : a.1 \rightarrow a \}) \Rightarrow \text{Maybe } A!e allChoices : \forall e.A!(e \uplus \{ \text{choose} : 1 \rightarrow \text{Bool} \}) \Rightarrow \text{List } A!e
```

With explicit type applications we may write:

```
handle (handle drunkTosses 2 with maybeFail {choose : 1 	woheadrightarrow Bool}) with allChoices \emptyset or
```

handle (handle drunkTosses 2 with allChoices $\{fail : a.1 \rightarrow a\}$) with maybeFail \emptyset

Intuitively, a row type is a type-level map from labels to value types $\ell_1:A_1,...,\ell:A_n$

Intuitively, a row type is a type-level map from labels to value types $\ell_1:A_1,...,\ell:A_n$

Row polymorphism supports abstracting over the rest of a row type: $\ell: A_1,...,\ell: A_n$; ρ

There can be at most one row variable in a row type

Intuitively, a row type is a type-level map from labels to value types $\ell_1:A_1,...,\ell:A_n$

Row polymorphism supports abstracting over the rest of a row type: $\ell: A_1,...,\ell: A_n$; ρ

There can be at most one row variable in a row type

Originally row polymorphism was designed for polymorphic record typing [Wand, LICS 1989]

Row polymorphism also works nicely for polymorphic variants and **effect polymorphism**

Intuitively, a row type is a type-level map from labels to value types $\ell_1:A_1,...,\ell:A_n$

Row polymorphism supports abstracting over *the rest* of a row type: $\ell: A_1,...,\ell: A_n$; ρ

There can be at most one row variable in a row type

Originally row polymorphism was designed for polymorphic record typing [Wand, LICS 1989]

Row polymorphism also works nicely for polymorphic variants and effect polymorphism

For effect handlers labels are either operation names or effect names

Rémy-style row polymorphism

Rows as maps from labels to type-level maybes — each label is either present with type A (Pre(A)) or absent (Abs)

Duplicate labels disallowed

Rémy-style row polymorphism

Rows as maps from labels to type-level maybes — each label is either present with type A (Pre(A)) or absent (Abs)

Duplicate labels disallowed

Example:

```
maybeFail : \forall (e : \mathsf{Row}_{\mathsf{fail}}), (p : \mathsf{Presence}).
A!(\{\mathsf{fail} : (a : \mathsf{Type}).1 \twoheadrightarrow a; e\}) \Rightarrow \mathsf{Maybe} \ A!\{\mathsf{fail} : p; e\}
\mathsf{allChoices} : \forall (e : \mathsf{Row}_{\mathsf{choose}}), (p : \mathsf{Presence}).
A!(e \uplus \{\mathsf{choose} : 1 \twoheadrightarrow \mathsf{Bool}; e\}) \Rightarrow \mathsf{List} \ A!\{\mathsf{choose} : p; e\}
```

Leijen-style row polymorphism

Rows as maps from labels to type-level lists — each label may be present multiple times at different types

Duplicate labels allowed; order of duplicates matters

Leijen-style row polymorphism

Rows as maps from labels to type-level lists — each label may be present multiple times at different types

Duplicate labels allowed; order of duplicates matters

Example:

```
maybeFail : \forall (e : \mathsf{Row}).

A!(\{\mathsf{fail} : (a : \mathsf{Type}).1 \rightarrow a; e\}) \Rightarrow \mathsf{Maybe} \ A!\{; e\}

allChoices : \forall (e : \mathsf{Row}).

A!(e \uplus \{\mathsf{choose} : 1 \rightarrow \mathsf{Bool}; e\}) \Rightarrow \mathsf{List} \ A!\{; e\}
```

Handler composition with row polymorphism

Instantiating an effect variable supports handler composition

Handler composition with row polymorphism

Instantiating an effect variable supports handler composition

Rémy style (explicit instantiation):

handle (handle drunkTosses 2 with maybeFail $\{choose : 1 \rightarrow Bool\}$ Abs) with allChoices \emptyset Abs

Handler composition with row polymorphism

Instantiating an effect variable supports handler composition

Rémy style (explicit instantiation):

handle (handle drunkTosses 2 with maybeFail $\{choose : 1 \rightarrow Bool\}$ Abs) with allChoices \emptyset Abs

Leijen style (explicit instantiation):

handle (handle drunkTosses 2 with maybeFail {choose : 1 \twoheadrightarrow Bool}) with allChoices \emptyset

Rémy style:

```
catch : (1 \rightarrow b!\{\text{fail} : a.1 \rightarrow a; e\}) \rightarrow (1 \rightarrow b!\{\text{fail} : p; e\}) \rightarrow b!\{\text{fail} : p; e\} catch m \mid h = \text{handle } m() \text{ with} return x \mapsto x \langle \text{fail} () \rangle \mapsto h()
```

Rémy style:

```
catch : (1 \rightarrow b! \{ \text{fail} : a.1 \rightarrow a; e \}) \rightarrow (1 \rightarrow b! \{ \text{fail} : p; e \}) \rightarrow b! \{ \text{fail} : p; e \}

catch m \ h = \text{handle} \ m() \ \text{with}

return x \mapsto x

\langle \text{fail} \ () \rangle \mapsto h \ ()
```

If h can itself fail then p is instantiated to $Pre(a.1 \rightarrow a)$

Rémy style:

```
catch : (1 \rightarrow b! \{ \text{fail} : a.1 \rightarrow a; e \}) \rightarrow (1 \rightarrow b! \{ \text{fail} : p; e \}) \rightarrow b! \{ \text{fail} : p; e \}

catch m \mid h = \text{handle } m() \text{ with}

return x \mapsto x

\langle \text{fail } () \rangle \mapsto h ()
```

If h can itself fail then p is instantiated to $Pre(a.1 \rightarrow a)$

Leijen style:

```
 \begin{array}{l} \mathsf{catch} : (1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a; e \}) \to (1 \to b! \{ ; e \}) \to b! \{ ; e \} \\ \mathsf{catch} \ m \ h = \mathbf{handle} \ m() \ \mathbf{with} \\ \mathbf{return} \ x \mapsto x \\ \langle \mathsf{fail} \ () \rangle \ \mapsto h \ () \\ \end{array}
```

Rémy style:

```
catch : (1 \rightarrow b!\{\text{fail} : a.1 \rightarrow a; e\}) \rightarrow (1 \rightarrow b!\{\text{fail} : p; e\}) \rightarrow b!\{\text{fail} : p; e\}

catch m \ h = \text{handle} \ m() \ \text{with}

return x \mapsto x

\langle \text{fail} \ () \rangle \mapsto h \ ()
```

If h can itself fail then p is instantiated to $Pre(a.1 \rightarrow a)$

Leijen style:

```
catch : (1 \rightarrow b!\{\text{fail}: a.1 \rightarrow a; e\}) \rightarrow (1 \rightarrow b!\{; e\}) \rightarrow b!\{; e\}
catch m \ h = \text{handle } m() \text{ with}
\text{return } x \mapsto x
\langle \text{fail } () \rangle \mapsto h \ ()
```

If h can itself fail then e is instantiated to (fail : $a.1 \rightarrow a$; e') for some e', which means the type of m is $(1 \rightarrow b! \{ \text{fail} : a.1 \rightarrow a, \text{fail} : a.1 \rightarrow a; e' \})$

Key observation: for higher-order functions the effect variables almost always match up because we typically *use* the function arguments

Key observation: for higher-order functions the effect variables almost always match up because we typically *use* the function arguments

Example (Leijen style):

$$\begin{aligned} \mathsf{catch} : & \left(1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a; e \} \right) \to \left(1 \to b! \{ ; e \} \right) \to b! \{ ; e \} \\ \mathsf{map} : & \left(a \to b! \{ ; e \} \right) \to \mathsf{List} \ a \to \mathsf{List} \ b! \{ ; e \} \end{aligned}$$

Key observation: for higher-order functions the effect variables almost always match up because we typically use the function arguments

Example (Leijen style):

$$\mathsf{catch} : (1 \to b! \{\mathsf{fail} : a.1 \twoheadrightarrow a; e\}) \to (1 \to b! \{; e\}) \to b! \{; e\}$$
$$\mathsf{map} : (a \to b! \{; e\}) \to \mathsf{List} \ a \to \mathsf{List} \ b! \{; e\}$$

We adopt a convention that omitted effect variables are all the same

$$\mathsf{catch} : (1 \to b!\{\mathsf{fail} : a.1 \twoheadrightarrow a\}) \to (1 \to b!\{\}) \to b!\{\}$$

$$\mathsf{map} : (a \to b!\{\}) \to \mathsf{List} \ a \to \mathsf{List} \ b!\{\}$$

Key observation: for higher-order functions the effect variables almost always match up because we typically *use* the function arguments

Example (Leijen style):

$$\mathsf{catch} : (1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a; e \}) \to (1 \to b! \{; e \}) \to b! \{; e \}$$
$$\mathsf{map} : (a \to b! \{; e \}) \to \mathsf{List} \ a \to \mathsf{List} \ b! \{; e \}$$

We adopt a convention that omitted effect variables are all the same

$$\mathsf{catch} : (1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a \}) \to (1 \to b! \{ \}) \to b! \{ \}$$
$$\mathsf{map} : (a \to b! \{ \}) \to \mathsf{List} \ a \to \mathsf{List} \ b! \{ \}$$

And further that empty polymorphic effects need not be written at all:

$$\mathsf{catch} : (1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a \}) \to (1 \to b) \to b$$
$$\mathsf{map} : (a \to b) \to \mathsf{List} \ a \to \mathsf{List} \ b$$

Key observation: for higher-order functions the effect variables almost always match up because we typically *use* the function arguments

Example (Leijen style):

$$\mathsf{catch} : (1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a; e \}) \to (1 \to b! \{; e \}) \to b! \{; e \}$$
$$\mathsf{map} : (a \to b! \{; e \}) \to \mathsf{List} \ a \to \mathsf{List} \ b! \{; e \}$$

We adopt a convention that omitted effect variables are all the same

$$\mathsf{catch} : (1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a \}) \to (1 \to b! \{ \}) \to b! \{ \}$$

$$\mathsf{map} : (a \to b! \{ \}) \to \mathsf{List} \ a \to \mathsf{List} \ b! \{ \}$$

And further that empty polymorphic effects need not be written at all:

$$\mathsf{catch} : (1 \to b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a \}) \to (1 \to b) \to b$$
$$\mathsf{map} : (a \to b) \to \mathsf{List} \ a \to \mathsf{List} \ b$$

We do now need to use explicit syntax to denote a closed row (\emptyset) , but with row-based effect typing closed rows are uncommon

Handlers

```
\begin{aligned} \mathsf{reads} : \mathsf{List} \ \mathsf{Nat} \to a! \{ \mathsf{get} : 1 \twoheadrightarrow \mathsf{Nat} \} \Rightarrow a! \{ \mathsf{fail} : a.1 \twoheadrightarrow a \} \\ \mathsf{reads} ([]) &= \mathsf{return} \, x &\mapsto x & \mathsf{reads} \, (n :: ns) = \mathsf{return} \, x &\mapsto x \\ & \langle \mathsf{get} \, () \to r \rangle \mapsto \mathsf{fail} \, () & \langle \mathsf{get} \, () \to r \rangle \mapsto r \, ns \, n \end{aligned}\mathsf{maybeFail} : b! \{ \mathsf{fail} : a.1 \twoheadrightarrow a \} \Rightarrow \mathsf{Maybe} \, b \\ \mathsf{maybeFail} &= \mathsf{return} \, x &\mapsto \mathsf{Just} \, x \\ & \langle \mathsf{fail} \, () \to r \rangle &\mapsto \mathsf{Nothing} \end{aligned}
```

 $\begin{array}{l} \mathsf{bad} : \mathsf{List} \ b \to (1 \to b! \{ \mathsf{get} : 1 \twoheadrightarrow \mathsf{Nat}, \mathsf{fail} : a.1 \twoheadrightarrow a \}) \to \mathsf{Maybe} \ b \\ \mathsf{bad} \ \mathit{ns} \ t = \mathsf{handle} \ (\mathsf{handle} \ t \ () \ \mathsf{with} \ \mathsf{reads} \ \mathit{ns}) \ \mathsf{with} \ \mathsf{maybeFail} \end{array}$

bad : List $b \to (1 \to b!\{\text{get}: 1 \twoheadrightarrow \text{Nat}, \text{fail}: a.1 \twoheadrightarrow a\}) \to \text{Maybe } b$ bad $ns \ t = \text{handle (handle } t \ () \ \text{with reads } ns) \ \text{with maybeFail}$

 $\mathsf{bad}\left[1,2\right]\left(\lambda().\mathsf{get}\left(\right)+\mathsf{fail}\left(\right)\right)$: Maybe $\mathsf{Nat}\Longrightarrow\mathsf{Nothing}$

bad : List $b \to (1 \to b!\{\text{get}: 1 \twoheadrightarrow \text{Nat}, \text{fail}: a.1 \twoheadrightarrow a\}) \to \text{Maybe } b$ bad $ns \ t = \text{handle (handle } t \ () \text{ with reads } ns) \text{ with maybeFail}$ bad $[1, 2] \ (\lambda(), \text{get} \ () + \text{fail} \ ()) : \text{Maybe Nat} \Longrightarrow \text{Nothing}$

How can we encapsulate the use of fail as an intermediate effect?

bad : List $b \to (1 \to b!\{\text{get}: 1 \twoheadrightarrow \text{Nat}, \text{fail}: a.1 \twoheadrightarrow a\}) \to \text{Maybe } b$ bad $ns \ t = \text{handle (handle } t \ () \ \text{with reads } ns) \ \text{with maybeFail}$

$$\mathsf{bad}\left[1,2\right]\left(\lambda().\mathsf{get}\left(\right)+\mathsf{fail}\left(\right)\right)$$
 : Maybe $\mathsf{Nat}\Longrightarrow\mathsf{Nothing}$

How can we encapsulate the use of fail as an intermediate effect?

The aim is to define

good : List
$$b \to (1 \to b!\{\text{get} : 1 \to \text{Nat}\}) \to \text{Maybe } b$$

by composing reads and maybeFail such that

$$good [1,2] (\lambda().get() + fail()) : Maybe Nat! \{fail : a.1 \rightarrow a\}$$

performs the fail operation.

Effect encapsulation

Two solutions to the effect pollution problem:

► Mask the intermediate effect (only works for Leijen-style row-typing)

```
\begin{array}{l} \mathsf{good} : \mathsf{List} \ b \to (1 \to b! \{ \mathsf{get} : 1 \twoheadrightarrow \mathsf{Nat} \}) \to \mathsf{Maybe} \ b \\ \mathsf{good} \ \mathit{ns} \ t = \mathsf{handle} \ (\mathsf{handle} \ (\langle \mathsf{fail} \rangle \ (t \ ())) \ \mathsf{with} \ \mathsf{reads} \ \mathit{ns}) \ \mathsf{with} \ \mathsf{maybeFail} \end{array}
```

Frank, Koka, and Helium support this approach.

[Biernacki, Piróg, Polesiuk, Sieczkowski, POPL 2018, "Handle with care"] [Convent, Lindley, McBride, McLaughlin, JFP 2019, "Doo bee doo bee doo"]

Add support for fresh effects

Helium and Links support this approach. [Biernacki, Piróg, Polesiuk, Sieczkowski, POPL 2019, "Abstracting algebraic effects"]

Effect masking

$$\frac{\Delta; \Gamma \vdash M : A!\{R\}}{\Delta; \Gamma \vdash \langle op \rangle \ M : A!\{op : B \twoheadrightarrow C; R\}}$$

Akin to weakening for effects

Doo bee doo bee doo

Shall I be pure or impure?
—Philip Wadler



A value is. A computation does.
—Paul Blain Levy



'To be is to do'—Socrates.
'To do is to be'—Sartre.
'Do be do be do'—Sinatra.
—anonymous graffiti, via Kurt Vonnegut



Frank

```
[Lindley, McBride, McLaughlin, POPL 2017, "Do be do be do"] [Convent, Lindley, McBride, McLaughlin, JFP 2019, "Doo bee doo bee doo"]
```

Frank is an unequivocally effect handler oriented research programming language

Key features include:

- invisible effect polymorphism
- call-by-handling
- multihandlers
- adjustments
- adaptors (a generalisation of mask)

Probably a misfeature: unusual syntax



Demos

Handlers in Links and Frank (demo)

Effect typing scalability challenges

Effect encapsulation

Linearity

Generativity

Indexed effects

Equations